

Docket No.: 50212-132

## REQUEST FORM FOR CONTINUATION APPLICATION UNDER 35 USC 111(a)

JCS82 U.S. PTO

09/667576

09/22/00

Prior Application: International Application No. PCT/JP00/01660  
Art Unit: (Unassigned)  
Examiner: (Unassigned)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a Request for filing a continuation or continuation-in-part application, entitled OPTICAL AMPLIFIER AND OPTICAL AMPLIFICATION METHOD, under 35 U.S.C. 111(a) of pending prior International Application No. PCT/JP00/01660, filed on March 17, 2000, entitled OPTICAL AMPLIFIER AND OPTICAL AMPLIFICATION METHOD, by the following named inventor(s):

| INVENTOR            | RESIDENCE       | CITIZENSHIP | POST OFFICE ADDRESS  |
|---------------------|-----------------|-------------|--|
| Tetsufumi TSUZAKI   | Kanagawa, Japan | Japanese    | c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan |
| Masayuki NISHIMURA  | Kanagawa, Japan | Japanese    | c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan |
| Masayuki SHIGEMATSU | Kanagawa, Japan | Japanese    | c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan |
| Hitoshi HATAYAMA    | Kanagawa, Japan | Japanese    | c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan |
| Eisuke SASAOKA      | Kanagawa, Japan | Japanese    | c/o Yokohama Works of Sumitomo Electric Industries, Ltd. at 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan |

- ☒ I hereby verify, to the best of my knowledge, that the enclosed copy of this prior application is a true copy of the above-identified prior application, including the oath or declaration as originally filed.
- ☐ Preliminary Amendment is enclosed.

2a. ☒ An Information Disclosure Statement and PTO1449 Form are submitted herewith.

3. ☐ Cancel claims

4. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 above:

|   | NO. OF<br>CLAIMS |     | EXTRA<br>CLAIMS | RATE      | AMOUNT          |
|---|------------------|-----|-----------------|-----------|-----------------|
| Total Claims  | 27               | -20 | 7               | \$18.00 = | \$126.00        |
| Independent Claims  | 2                | -3  | 0               | \$78.00 = | \$0.00          |
| Basic Application Fee                                     |                  |     |                 |           | \$690.00        |
| If multiple dependent claims are presented, add \$260.00  |                  |     |                 |           | \$0.00          |
| Total Application Fee                                     |                  |     |                 |           | \$816.00        |
| Subtract ½ if small entity                                |                  |     |                 |           | \$0.00          |
| <b>TOTAL APPLICATION FEE DUE</b>                          |                  |     |                 |           | <b>\$816.00</b> |
| <b>AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417</b> |                  |     |                 |           | <b>\$816.00</b> |

4a. ☐ Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

4b. ☐ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.

5. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees including any extension of time fees to maintain the pendency of this application or credit any overpayment to Deposit Account No. 500417.

6. ☐ Amend the specification by inserting before the first line the sentence:

7. ☒ Priority of Patent Application Serial No. 1999-196251, filed on July 9, 1999 in Japan; Patent Application Serial No. 1999-212190, filed on July 27, 1999 in Japan; and International Application No. PCT/JP00/01660 filed March 17, 2000 designating the U.S. is claimed under 35 USC 119, 120 and/or 365.

8. ☐ The prior application is assigned of record to

9. ☐ The power of attorney in the prior application is to:

002260-9/5/9960

10. ☒ Also enclosed:

Assignment and recordation fee of \$40.00

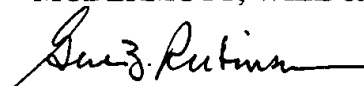
11. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until .

12. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

Respectfully submitted,

McDERMOTT, WILL & EMERY



Gene Z. Robinson  
Registration No. 33,351

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 GZR:dtb  
**Date: September 22, 2000**  
Facsimile: (202) 756-8087

002250-9/29/00